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| FORM PT   |  | ATTORNEY'S DOCKET NO.<br>PHN 17,861             |  |  |  |  |  |
| TRANS   | MITTAL LETTER TO THE UNITE<br>(DO/EO/US) CONCERNING A  | U.S. Application No. (if brown, ege 37 OFR 1.5) |  |  |  |  |  |
| INTERNA<br>PCT/ EP0   | ITIONAL APPLICATION NO.  | INTERNATIONAL FILING DATE<br>12/27/00           | PRIORITY DATE CLAIMED<br>12/29/99      |  |  |  |  |
|   | INVENTION<br>OF READING MAGNETIC INFORMA   | TION WHICH IS IMMUNIZED AGAINST THERMAL         | ASPERITIES                             |  |  |  |  |
|   | NT(S) FOR DO/EO/US<br>Villem DE JONG; Johannes Otto VO   | ORMAN; Joao Nuno Vila Lobos RAMALHO; Gluso      | eppe GRILLO; Hugo VEENSTRA             |  |  |  |  |
| Applicant   | t(s) herewith submit to the United S   | itates Designated/Elected Office (DO/EO/US) the | following items and other information: |  |  |  |  |
| 1. [X] This is a FIRST submission of items concerning a filing under 35 U S.C. 371. |  |   |  |  |  |  |  |
| 2. [ ]  | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.  |   |  |  |  |  |  |
| 3. [ ]  | This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).  |   |  |  |  |  |  |
| 4. [ ]  | A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.  |   |  |  |  |  |  |
| 5. [ ] co   | copy of the International Application as filed (35 U.S C. 371 (c)(2)) a. [ ] is transmitted herewith (required only if not transmitted by the International Bureau). b. [ ] has been transmitted by the International Bureau. c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).  |   |  |  |  |  |  |
| 6. [X]  | A translation of the International Application into English (35 U.S.C. 371(c)(2))  |   |  |  |  |  |  |
| 7. [ ]  | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. [ ] are transmitted herewith (required only if not transmitted by the International Bureau). b. [ ] have been transmitted by the International Bureau. c. [ ] have not been made; however, the time limit for making such amendments has NOT expired. d [ ] have not been made and will not be made. |   |  |  |  |  |  |
| 8. [ ]  | A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).  |   |  |  |  |  |  |
| 9. [X]  | An oath or declaration of the inventor(s) (35 U.S.C 371(c)(4)).  |   |  |  |  |  |  |
| 10.[]   | A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).   |   |  |  |  |  |  |
| Items 11.   | to 16. below concern document(s) o   | or Information included:                        |  |  |  |  |  |
| 11. [ ]   | An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.   |   |  |  |  |  |  |
| 12. [X]   | An assignment document for recording. A separate cover sheet is compliance with 37 C.F.R. 3.28 and 3.31 is included.   |   |  |  |  |  |  |
| 13. [ ]   | A FIRST preliminary amendment.<br>A SECOND OR SUBSEQUENT preliminary amendment.  |   |  |  |  |  |  |
| 14. [ ]   | A substitute specification.  |   |  |  |  |  |  |
| 15 [X]  | A change of power of attorney and/or address letter.   |   |  |  |  |  |  |
| 16. [X]   | Other items or information:  |   |  |  |  |  |  |
|   | 2 Sheets of Drawings   |   |  |  |  |  |  |
|   | X Authorization Pursuant to 37 (   | CFR § 1.136(a)(3) and to Charge Deposit Account |  |  |  |  |  |
| CERTIFICATE OF MAILING  |  |   |  |  |  |  |  |
|   | [X] Express Mail Mailin  | g Label No. EL 6F6 950 179                      | 7 us                                   |  |  |  |  |
| 171 Express Mail Mailing Lager No.  |  |   |  |  |  |  |  |

Date of Deposit 8-24-01

I hereby certify that this paper and fee indeed deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

Jeanne Rusciano Typed Name

| U.S. APPLICATION  | 0/((Rnogh, see 27 G.F. 8 1   | I.5) INTERNATIO<br>PCT /EP00/13 | NAL APPLICATION NO.<br>3232 | ATTORNEY'S DOCKET NUMBER PHN 17,861 |          |  |  |  |
|---|--|---------------------------------|-----------------------------|-------------------------------------|----------|--|--|--|
| 17 [X] The following  | fees are submitted:  | CALCULATIONS (PTO USE ONLY)     |                             |                                     |          |  |  |  |
| BASIC NATIONAL FEI  | E (37 C.F.R 1.492(A)(1)-(5)):  | ,                               | ,                           |                                     |          |  |  |  |
| Search Re   | port has been prepared by t  | Ì                               |                             |                                     |          |  |  |  |
| Internation<br>(37 C.F.R.   | nal preliminary-examination<br>1.482)                                      |                                 |                             |                                     |          |  |  |  |
| (37 C.F.R.  | tional preliminary examinat<br>1.482) but international sea<br>1.445(a)(2) |                                 |                             |                                     |          |  |  |  |
| Neither int<br>1.482) nor<br>paid to US   | ernational preliminary exam<br>international search fee (37<br>PTO         |                                 |                             |                                     |          |  |  |  |
| Internation<br>(37 C.F.R.<br>Article 33(2   | ial preliminary examination<br>1.482) and all claims satisfie<br>2)-(4)    |                                 |                             |                                     |          |  |  |  |
| ·   | ENTER APPROPRIATE BAS  | IC FEE AMOUNT =                 | \$ 96.00                    | \$ 860.00                           |          |  |  |  |
| Surcharge of \$130.00 from the earliest clain   | for furnishing the oath or d   | \$                              |                             |                                     |          |  |  |  |
| CLAIMS  | NUMBER FILED N   | UMBER EXTRA                     | RATE                        |                                     |          |  |  |  |
| Total Claims  | 9 - 20 =   |                                 | X \$ 18 00                  | \$                                  |          |  |  |  |
| Independent claims  | 2 - 3 =  |                                 | X \$ 80.00                  | \$                                  |          |  |  |  |
| MULTIPLE DEPENDER applicable)   | NT CLAIMS (if  |                                 | + \$270 00                  | \$                                  |          |  |  |  |
|   | TOTAL OF ABOV  | \$ 860.00                       |                             |                                     |          |  |  |  |
| Reductions by 1/2 for must also be filed (No  | filing by small entity, if app<br>te 37 C.F.R. 1.9, 1.27, 1.28)            | s                               |                             |                                     |          |  |  |  |
|   |  | \$ 850.00                       |                             |                                     |          |  |  |  |
|   | 0.00 for furnishing the Engli<br>est claimed priority date (37             | \$                              |                             |                                     |          |  |  |  |
|   |  | \$ 860.00                       |                             |                                     |          |  |  |  |
| Fee for recording the accompanied by an ap  | enclosed assignment (37 C.<br>opropriate cover sheet (37 C                 | \$ 40.00                        |                             |                                     |          |  |  |  |
|   |  | \$900.00                        |                             |                                     |          |  |  |  |
|   |  | Amount to be refunded           | \$                          |                                     |          |  |  |  |
|   |  |                                 |                             | charged                             | \$       |  |  |  |
| a. [ ] A check in   | the amount \$  | to cover the abo                | ve fees is enclosed.        |                                     | <u> </u> |  |  |  |
| b. [X] Please char<br>A duplicate   |  |                                 |                             |                                     |          |  |  |  |
| c. [X] The Commissioner is hereby authorized to charge any additional fee, with the exception of the Base Issue Fee, which may be required, or credit any overpayment to Deposit Account No <u>14-1270</u> A duplicate copy of this sheet is enclosed |  |                                 |                             |                                     |          |  |  |  |
| NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  |  |                                 |                             |                                     |          |  |  |  |
| SEND ALL CORRESPO   | ONDENCE TO:  | ll                              |                             |                                     |          |  |  |  |
| Corporate Patent Cour<br>Philips Electronics No<br>580 White Plains Road<br>Tarrytown, NY 10591   | rth America Corporation  | n                               |                             |                                     |          |  |  |  |
| ( )   |  | JMBER)                          |                             |                                     |          |  |  |  |
|   |  |                                 |                             |                                     |          |  |  |  |